



NOTICE OF EXPRESS MAILING

Express Mail Label Number: EL740534855US

Date of Deposit with USPS: June 25, 2001

Person making Deposit: Jared S. Turner

PATENT  
Attorney Docket No. 4741US

Sector

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:	Not Yet Assigned	Group Art Unit No.:	Not Yet Assigned
Applicant(s):	Hoshino et al.	Filing date:	February 5, 2001
Serial No.:	09/776,995	For (title):	ELECTRONIC COMMERCIAL TRANSACTION SYSTEM

COMMUNICATION TRANSMITTAL

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

Postcard receipt acknowledgment (attached to the front of this transmittal).  
Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16.  
Any such fees may be charged to deposit account no. 20-1469.  
Preliminary Amendment with substitute drawings.  
Certified Copy of Priority Document .  
Response to Notice to File Missing Parts with English Translation, with Translators Statement;  
Check No. 16742 for \$260.00 as fee for Translation and Surcharge

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

Laurence B. Bond  
Reg. No. 30,549  
TRASK BRITT, PC  
P.O. Box 2550  
Salt Lake City, UT 84110-2550  
(801) 532-1922

Date: June 25, 2001  
Enclosures: As identified above

#3

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

HOSHINO et al.

Serial No.: 09/776,995

Filed: February 5, 2001

For: ELECTRONIC COMMERCIAL  
TRANSACTION SYSTEM

Examiner: To be assigned

Group Art Unit: To be assigned

Attorney Docket No.: 2860-4741US

NOTICE OF EXPRESS MAILING

Express Mail Label Number: EL740534855US

Date of Deposit with USPS: June 25, 2001

Person making Deposit: Jared S. Turner

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Attn: Manager, Application Division  
Special Handling Unit  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

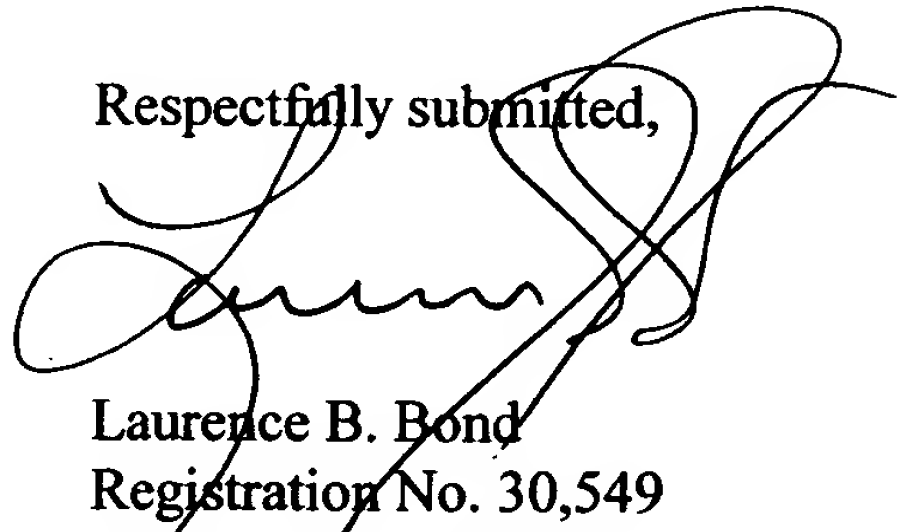
Enclosed is a copy of the Notice to File Missing Parts of Application, along with the following required items in connection with the above-referenced application: Declaration, English Translation of Specification with Declaration of Translator and Translated Formal Drawings, Figures 1-14. These documents are being filed within two months (2) months from the mailing date of the Notice to File Missing Parts.

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A check in the sum of \$260.00 is enclosed as payment of the \$130.00 English Translation filing fee and the \$130.00 fee for late filing of a Declaration.

Any additional patent application processing fees under 37 C.F.R. § 1.17 which may be due should be charged to deposit account no. 20-1469.

Respectfully submitted,



Laurence B. Bond  
Registration No. 30,549  
Attorney for Applicants  
TRASKBRITT, PC  
P. O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: (801) 532-1922

Date: June 15, 2001

Enclosures: Declaration

English Translation of Specification with Declaration of Translator  
Copy of Notice To File Missing Parts of Application  
Check #16742 for \$260.00



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/776,995	02/05/2001	Osamu Hoshino	2860-4741US

CONFIRMATION NO. 4910

24247  
TRASK BRITT  
P.O. BOX 2550  
SALT LAKE CITY, UT 84110

## FORMALITIES LETTER



\*OC000000005997346\*

Date Mailed: 04/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 260.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawing figures contain text that is not in English (including, for example, a flow

07/02/2001 HBERHE 00000019 09776995 130.00 OP  
01 FC:105 130.00 OP  
02 FC:139

chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY